

Please review this checklist to avoid unnecessary delays in the processing of your New Business submissions

Did you remember to:

- Complete RSL's Product Specific Training requirement? (required in all states)
Fully complete the application?
Complete the Household Net Worth question?
Complete the Adequate Resources for Expenses question?
Complete the Withdrawals in Excess of Penalty Free Amount question?

Customer Suitability Analysis and Identification Certification Form. Sections include: 1. PROPOSED OWNER INFORMATION, 2. PROPOSED JOINT OWNER INFORMATION (IF APPLICABLE), 3. PERSONAL FINANCIAL INFORMATION, 4. TAX STATUS, 5. FINANCIAL OBJECTIVES, 6. FUNDING SOURCE, 7. WITHDRAW OF FUNDS, 8. SURRENDER PENALTY, 9. CUSTOMER IDENTIFICATION, 10. FORMAL IDENTIFICATION, 11. OTHER RELIABLE MEANS OF IDENTIFICATION, 12. PROPOSED OWNER/JOURNAL OWNER SIGNATURE(S), 13. AGENT REPRESENTATIONS AND SIGNATURE.